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| 10664.955   09/19/2003   Timothy A.M. Chuter   06-00934US02[209.0770001]   3.652     5453   7590   01/11/2010   EXAMINER     BROOKS, CAMERON & HUEBSCH, P.L.C   22.1 NICOLLET AVENUE   STROUD, JONATHAN R     SUITE 5.00   ART UNIT   PAPER NUMB!     MINNEAPOLIS, MN 55403   3774     MAIL DATE   DELIVERY MO | APPLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.      | CONFIRMATION NO |  |
|--|---|-------------|----------------------|--------------------------|-----------------|--|
| BROOKS, CAMERON & HUEBSCH, PLLC  1221 NICOLLET AVENUE  SUITE 500  MINNEAPOLIS, MN 55403  ART UNIT PAPER NUMBI  3774  | 10/664,595  | 09/19/2003  | Timothy A.M. Chuter  | 06-00934US02[209.0770001 | ] 3652          |  |
| 1221 NICOLLET AVENUE STROUD, IONATHAN R SUITE 500 MINNEAPOLIS, MN 55403 ART UNIT PAPER NUMBI 3774  | BRÖOKS, CAMÉRON & HUÉBŠČÍI, PLLC<br>1221 NICOLLET AVENUE<br>SUITE 500 |             |                      | EXAM                     | EXAMINER        |  |
| MINNEAPOLIS, MN 55403  ART UNIT PAPER NUMBI 3774   |   |             |                      | STROUD, JONATHAN R       |                 |  |
|  |   |             |                      | ART UNIT                 | PAPER NUMBER    |  |
| MAIL DATE DELIVERY MO  |   |             |                      | 3774                     |                 |  |
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| 01/11/2010 PAPER   |   |             |                      |                          |                 |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Application No. Applicant(s) 10/664.595 CHUTER, TIMOTHY A.M. Office Action Summary Examiner Art Unit JONATHAN STROUD 3774 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 10 July 2009. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 26-27 and 29-41 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 26-27 and 29-41 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received. Attachment(s)

1) Notice of References Cited (PTO-892)

Notice of Draftsperson's Patent Drawing Review (PTO-948)

Information Disclosure Statement(s) (PTO/S5/08)
 Paper No(s)/Mail Date \_\_\_\_\_\_.

Interview Summary (PTO-413)
 Paper No(s)/Mail Date.

6) Other:

Notice of Informal Patent Application

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#### DETAILED ACTION

### Response to Arguments

 Applicant's arguments with respect to the claims have been considered but are most in view of the new ground(s) of rejection.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filled in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filled in the United States before the invention by the applicant for patent, except that an international application filled under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filled in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 26, 27 and 29-41 are rejected under 35 U.S.C. 102(a) (or in the alternative, 35 U.S.C. 102(e)) as being anticipated by Marcade 6.964.679.

Re claim 26, Marcade teaches a main component 100 featuring an inferior end, cusp or end of 110, a superior end 112 (region cusp or end immediately prior to the branching at 132, and a midsection inbetween, 131, the midsection having an outer diameter that is smaller than an outer diameter of the superior or inferior end, with a first narrowing transition section 110 and a second narrowing transition 112 bridign between the ends and the midsection, and at least two limbs 132's defining respective openings,

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respective extension components 116 sized to mate with the opening of the at least two limbs.

Re claim 27, they extend from elements 132/112.

Re claim 29, at least three limbs extend from one of the first or second transition elements, 2 limbs 132 and limb at 154/top of 110 (see fig. 2).

Re claim 30 is placement and functional language and the device is fully capable of performing the claimed language.

Re claim 31, with anchoring devices attached to the superior and inferior ends, 156, 116 a, 128.

Re claim 32, 33 the anchoring device is represented by stent and barbs which can be made of wire nitinol, 154, col. 14 II. 1-15.

Re claim 34-37 the extension components 114, 116 are cylindrical and contain support structure that is self expanding 116a.

Re claim 38-41, guidewire can be configured to travel through limbs via catheter 300, see spec., col. 14 ll. 1-40.

#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JONATHAN STROUD whose telephone number is (571)270-3070. The examiner can normally be reached on 8-4, M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Isabella can be reached on 571-272-4749. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jonathan R Stroud/ Examiner, Art Unit 3774 /William H. Matthews/ Primary Examiner, Art Unit 3774